

**WOLVERHAMPTON CCG**  
**GOVERNING BODY**  
**12 December 2017**

**Agenda item 11**

<b>TITLE OF REPORT:</b>	<b>Summary – Wolverhampton Clinical Commissioning Group(WCCG) Audit and Governance Committee (AGC) – 14 November 2017</b>
<b>AUTHOR(s) OF REPORT:</b>	Peter Price – Interim Chair, Audit and Governance Committee
<b>MANAGEMENT LEAD:</b>	Tony Gallagher – Chief Finance Officer
<b>PURPOSE OF REPORT:</b>	<ul style="list-style-type: none"> <li>To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.</li> </ul>
<b>ACTION REQUIRED:</b>	<input type="checkbox"/> <b>Decision</b> <input checked="" type="checkbox"/> <b>Assurance</b>
<b>PUBLIC OR PRIVATE:</b>	This Report is intended for the public domain.
<b>KEY POINTS:</b>	<ul style="list-style-type: none"> <li>To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.</li> </ul>
<b>RECOMMENDATION:</b>	<ul style="list-style-type: none"> <li><b>Receive</b> this report and <b>note</b> the actions taken by the Audit and Governance Committee</li> </ul>
<b>LINK TO BOARD ASSURANCE FRAMEWORK AIMS &amp; OBJECTIVES:</b>	
1. Improving the quality and safety of the services we commission	n/a
2. Reducing Health Inequalities in Wolverhampton	n/a
3. System effectiveness delivered within our financial envelope	n/a



## **1. BACKGROUND AND CURRENT SITUATION**

### **1.1 Internal Auditor Progress Report**

The Senior Internal Audit Manager reported on progress on risk had been made since the last Audit and Governance Committee meeting.

### **1.2 Risk Register Reporting/Board Assurance Framework**

The Corporate Operations Manager updated on the move of Risk from Quality and into the Operations Team. He outlined the new processes that were to be put in place and that updates would be given at the next meeting by himself and from observations by the Head of Internal Audit.

### **1.3 Annual Governance Statement**

The Corporate Operations Manager gave an update and reminder of the content of the annual governance statement.

### **1.4 Update from the Black Country Joint Commissioning Governance Forum**

The Corporate Operations Manager presented a paper and terms of reference to the committee regarding the establishment of the Black Country and Joint Commissioning Governance Forum.

### **1.5 Losses and Compensation Payments – Quarter 2 2017/18**

There was 1 loss and no special payments were reported in quarter 2 2017/18

### **1.6 Suspensions, Waiver and Breaches of SO/PFPS**

There were no suspensions of SO/PFPS in quarter 2 of 2017/18

### **1.7 Receivable/Payable Greater than £10,000 and over 6 months old**

The Committee noted that as at 30 June 2017, there were 0 receivables and 13 payables over £10,000 and greater than 6 months old.

## **CLINICAL VIEW**

1.1. N/A

## **2. PATIENT AND PUBLIC VIEW**

2.1. N/A

## **3. KEY RISKS AND MITIGATIONS**



- 3.1. The Audit and Governance Committee will regularly scrutinise the risk register and Board Assurance Framework of the CCG to gain assurance that processes for the recording and management of risk are robust. If risk is not scrutinised at all levels of the organisation, particularly at Governing Body level, the CCG could suffer a loss of control with potentially significant results.

#### **4. IMPACT ASSESSMENT**

##### ***Financial and Resource Implications***

- 4.1. N/A

##### ***Quality and Safety Implications***

- 4.2. N/A

##### ***Equality Implications***

- 4.3. N/A

##### ***Legal and Policy Implications***

- 4.4. N/A

##### ***Other Implications***

- 4.5. N/A

**Name: Tony Gallagher**  
**Job Title: Chief Finance Officer**  
**Date: 15 November 2017**



## REPORT SIGN-OFF CHECKLIST

**This section must be completed before the report is submitted to the Admin team. If any of these steps are not applicable please indicate, do not leave blank.**

	<b>Details/ Name</b>	<b>Date</b>
Clinical View	<b>N/A</b>	
Public/ Patient View	<b>N/A</b>	
Finance Implications discussed with Finance Team	<b>N/A</b>	
Quality Implications discussed with Quality and Risk Team	<b>N/A</b>	
Equality Implications discussed with CSU Equality and Inclusion Service	<b>N/A</b>	
Information Governance implications discussed with IG Support Officer	<b>N/A</b>	
Legal/ Policy implications discussed with Corporate Operations Manager	<b>N/A</b>	
Other Implications (Medicines management, estates, HR, IM&T etc.)	<b>N/A</b>	
Any relevant data requirements discussed with CSU Business Intelligence	<b>N/A</b>	
<b>Signed off by Report Owner (Must be completed)</b>		

